
Road Vehicle Automation Challenges

**Steven E. Shladover, Sc.D.
California PATH Program
University of California, Berkeley**

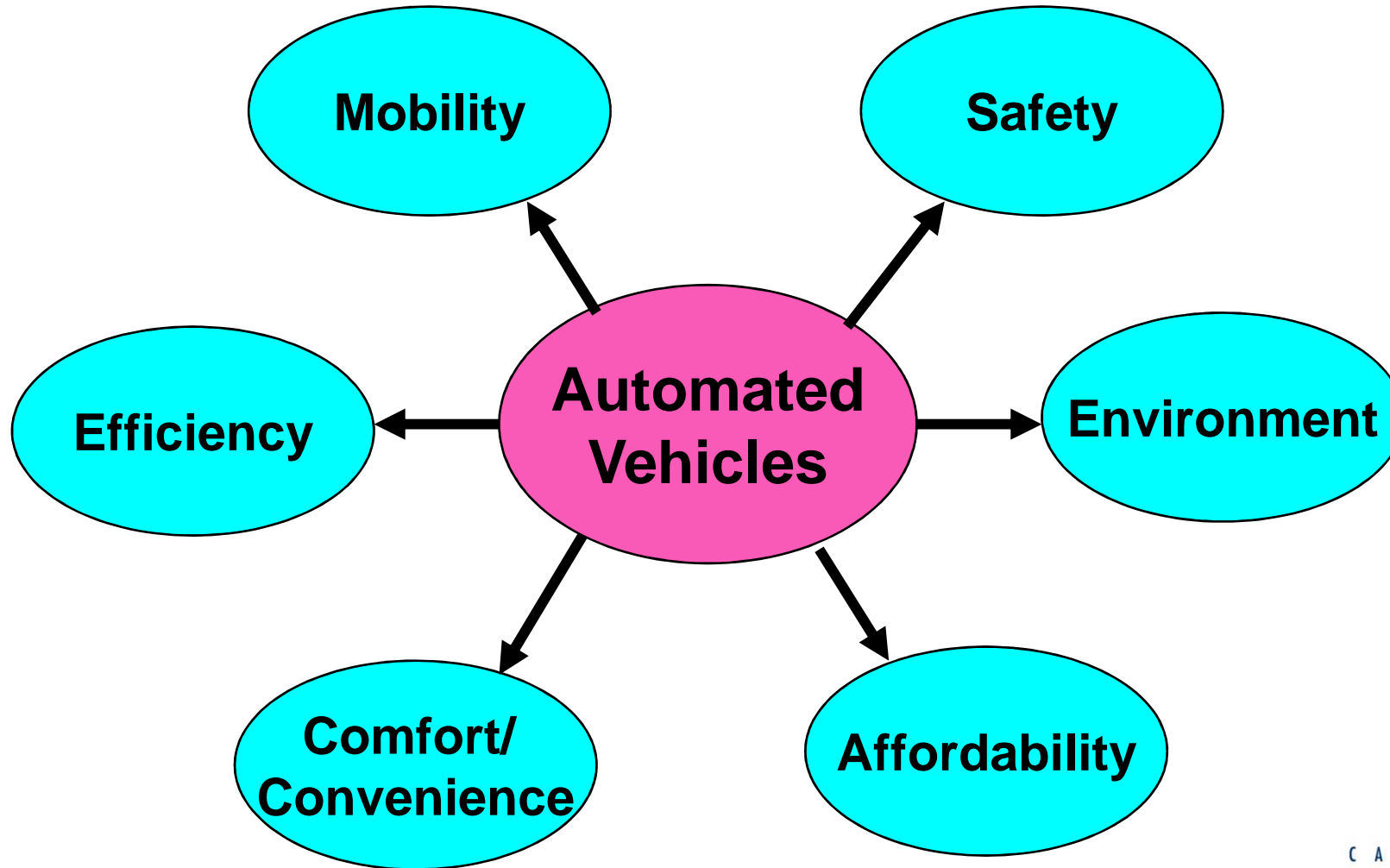
April 30, 2015



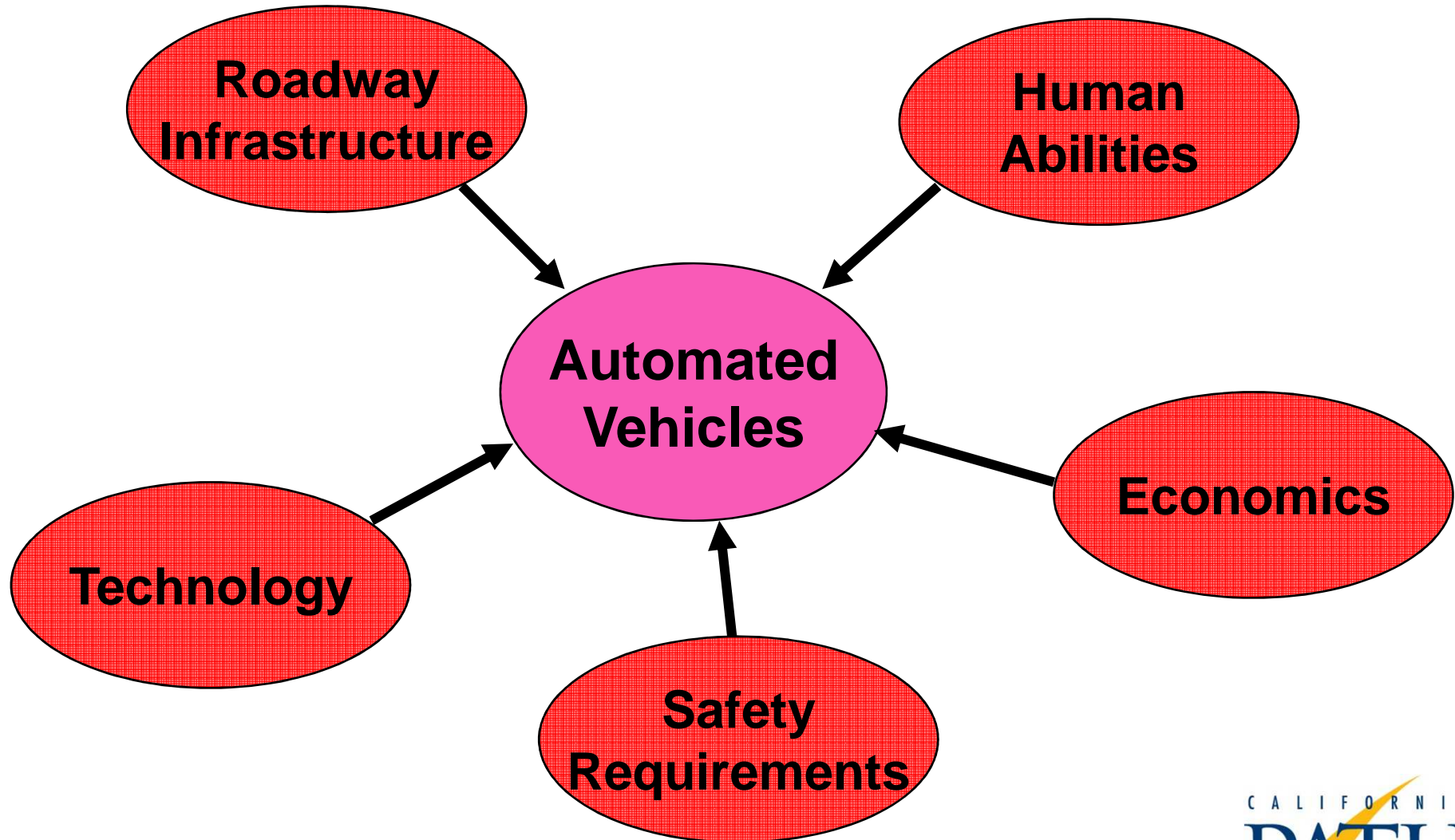
What are we talking about?

- What are the goals? What problem(s) are we trying to solve?
- Need precise terminology and operational concepts in order to understand each other
 - Not “driverless”, “self driving” or “unmanned”
- Automation vs. autonomy
- Definitions of driver vs. “system” roles
- Automation under what operating conditions/environmental complexity?
- Technical challenges

Potential Goals



Constraints



Definitions

(per Oxford English Dictionary)

- **autonomy**:
 1. *(of a state, institution, etc.)* the right of self-government, of making its own laws and administering its own affairs
 2. *(biological)* (a) the condition of being controlled only by its own laws, and not subject to any higher one; (b) organic independence
 3. a self-governing community.

autonomous:

1. of or pertaining to an autonomy
2. possessed of autonomy, self governing, independent
3. *(biological)* (a) conforming to its own laws only, and not subject to higher ones; (b) independent, i.e., not a mere form or state of some other organism.

Definitions

(per Oxford English Dictionary)

- **autonomy**:

1. *(of a state, institution, etc.)* the right of self-government, of making its own laws and administering its own affairs
2. *(biological)* (a) the condition of being controlled only by its own laws, and not subject to any higher one; (b) organic independence
3. a self-governing community.

autonomous:

1. of or pertaining to an autonomy
2. possessed of autonomy, self governing, independent
3. *(biological)* (a) conforming to its own laws only, and not subject to higher ones; (b) independent, i.e., not a mere form or state of some other organism.

- **automate**: to apply automation to; to convert to largely automatic operation

automation: automatic control of the manufacture of a product through a number of successive stages; the application of automatic control to any branch of industry or science; by extension, the use of electronic or mechanical devices to replace human labour

Autonomous and Cooperative ITS

**Autonomous ITS
(Unconnected
Systems)**

**Cooperative ITS
(Connected Vehicle
Systems)**

**Automated
Driving
Systems**

SAE J3016 Definitions

SAE Level	Name	Narrative Definition	Execution of Steering/ Acceleration/ Deceleration	Monitoring of Driving Environment	Fallback Performance of Dynamic Driving Task	System Capability (Driving Modes)
<i>Human driver monitors the driving environment</i>						
0	No Automation	the full-time performance by the <i>human driver</i> of all aspects of the <i>dynamic driving task</i> , even when enhanced by warning or intervention systems	Human driver	Human driver	Human driver	n/a
1	Driver Assistance	the <i>driving mode</i> -specific execution by a driver assistance system of either steering or acceleration/deceleration using information about the driving environment and with the expectation that the <i>human driver</i> perform all remaining aspects of the <i>dynamic driving task</i>	Human driver and system	Human driver	Human driver	Some driving modes
2	Partial Automation	the <i>driving mode</i> -specific execution by one or more driver assistance systems of both steering and acceleration/deceleration using information about the driving environment and with the expectation that the <i>human driver</i> perform all remaining aspects of the <i>dynamic driving task</i>	System	Human driver	Human driver	Some driving modes
<i>Automated driving system ("system") monitors the driving environment</i>						
3	Conditional Automation	the <i>driving mode</i> -specific performance by an <i>automated driving system</i> of all aspects of the <i>dynamic driving task</i> with the expectation that the <i>human driver</i> will respond appropriately to a <i>request to intervene</i>	System	System	Human driver	Some driving modes
4	High Automation	the <i>driving mode</i> -specific performance by an <i>automated driving system</i> of all aspects of the <i>dynamic driving task</i> , even if a <i>human driver</i> does not respond appropriately to a <i>request to intervene</i>	System	System	System	Some driving modes
5	Full Automation	the full-time performance by an <i>automated driving system</i> of all aspects of the <i>dynamic driving task</i> under all roadway and environmental conditions that can be managed by a <i>human driver</i>	System	System	System	All driving modes

Example Systems at Each Automation Level

Level	Example Systems	Driver Roles
1	Adaptive Cruise Control OR Lane Keeping Assistance	Must drive <u>other</u> function and monitor driving environment
2	Adaptive Cruise Control AND Lane Keeping Assistance Traffic Jam Assist (Mercedes)	Must monitor driving environment (system nags driver to try to ensure it)
3	Traffic Jam Pilot Automated parking	May read a book, text, or web surf, but be prepared to intervene when needed
4	Highway driving pilot Closed campus driverless shuttle Driverless valet parking in garage	May sleep, and system can revert to minimum risk condition if needed
5	Automated taxi (even for children) Car-share repositioning system	No driver needed

How to manage driver interaction?

- **Can't redesign the driver → must design the system to be usable by the driver**
- **Force driver to stay engaged? (nuisance)**
- **Disregard driver? (system must take full responsibility)**
- **Re-engage driver when system is disabled? (how much time needed to guarantee arousing a sleeping or texting driver?)**

Safety Requirements

- Not an “unmanned” vehicle, but a safety-of-life critical system
 - “Significantly” safer than today’s driving to gain public acceptance and provide benefits
 - Fatal crashes MTBF > 3.3 million vehicle hours
 - Injury crashes MTBF > 65,000 vehicle hours
 - What software-intensive consumer electronic product can approach these MTBF levels?
 - How to PROVE that an automated driving system is better than this?
 - To trust this vehicle to carry your family
 - To get affordable insurance
 - To manage risk of the system vendor
-

Driving Environment Diversity (1/2)

- **Existing infrastructure, unchanged**
 - **Off-road**
 - **All roads**
 - **All paved roads**
 - **Well-marked paved roads**
 - **Urban and suburban arterials**
 - **Rural highways**
 - **Residential streets**
 - **Limited-access highways (freeways)**
 - **Parks or low-speed pedestrian zones**
 - **Enclosed parking facilities**

Driving Environment Diversity (2/2)

- **Existing infrastructure, augmented for automation**
 - **Dedicated lanes within limited-access highway**
 - **Special markings or electronics added**
- **Separate new infrastructure**
 - **Dedicated, protected lanes on limited-access highways**
 - **Fully automated parking facilities**
 - **Physically separated guideways (PRT)**

Driving Environment Complexity

- **Cluttered, highly dynamic environment**
 - **Vehicles, pedestrians, bikes, kids, pets**
 - **Scofflaw and aggressive drivers**
 - **Adverse weather and visibility**
 - **Poorly maintained markings and signs**
- **How to replicate defensive driving skills, including use of subtle visual cues and eye contact?**
- **Murphy's Law is unavoidable**

Orders of Magnitude Harder than Commercial Aircraft Automation

- Positioning accuracy ~ 10 cm
- Many simultaneous threats to track and avoid
 - Relative locations of targets ~10 cm
 - Relative speeds of targets ~1 m/s
- Threat response needed in <100 ms
- Fault recovery needed in <100 ms
- No operator (driver) training
- No preventive maintenance, >10 year lifetime
- Unit capital cost target ~ \$3 K

Need for Communication/Cooperation to Gain Transportation Benefits

- **Infrastructure must provide traffic signal status, variable speed limits, dynamic restrictions on lane use (work zones)**
- **Extremely beneficial to have other vehicles providing:**
 - **Maneuver intentions**
 - **Message/condition acknowledgments**
 - **Advance alerts about hazards**
 - **Cooperation to improve efficiency, enable close clustering to reduce drag**
- **By contrast, autonomous vehicles are deaf-mute drivers**

Technological Challenges

- **Sensor performance and cost**
- **Logic and data processing**
- **Software complexity and safety**

Sensor Challenges for Autonomous Automation in Mixed Traffic

- High-performance, costly sensors are needed (accuracy, field of regard, discriminant capability)
- Sensors cannot detect subtle cues from other vehicles and drivers like experienced drivers
- No single sensor technology can satisfy all needs, so fusion of multiple sensors with complementary faults and vulnerabilities is necessary
 - Cost and complexity concerns
- Filtering is necessary, but introduces serious lags
- Remote sensors are slower and more uncertain than onboard sensors (speed, acceleration, driver actions)

Logic and Data Processing Challenges

- **Sensor signal processing (e.g., distinguishing hazardous from benign obstacles)**
 - **Any object large enough to cause harm**
 - **BUT, ignore innocuous “soft” targets**
 - **“Zero” missed detections (false negatives)**
 - **“Near-zero” false alarms (false positives)**
- **Predicting future actions of other vehicles**
- **General driving threat assessment (defensive driving)**
- **Decision making in ethically ambiguous threat situations (truck vs. motorcycle)**
- **Moore’s Law does not provide salvation**

Software Challenges for Fully Automated Driving

- **Complexity – cannot test all combinations of conditions**
- **Cannot prove safety of software for safety-critical applications**
- **Need comprehensive fault detection, identification and reconfiguration – self-healing**
- **How many hours of testing are needed to prove safety better than human driving?**
- **How many hours of continuous, unassisted automated driving has anybody achieved in real traffic?**

Where to go from here?

- **Simplify, simplify, simplify... to focus on tractable problems to solve**
 - **Protected environments**
 - **Commercial vehicles, professional drivers**
 - **Use all available data (including V2V, I2V)**
 - **Limited levels of automation (retaining driver as safety backup)**
 - **Automation of buses on busways**
 - **Automation of trucks in truck lanes and terminals**
 - **Parking in enclosed garages**
-