



# IEEE: Models and Technologies for ITS

## Capacity and Delay Analysis of Arterials with Mixed Autonomous and Human- Driven Vehicles



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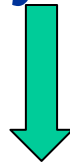
**Naples, June 26, 2017**



# Introduction

- **Goal:**
  - Assessment of performance of mixed stream of human-driven (N) and autonomous vehicles (AV) at signalized intersections

*MOEs: capacity, and delay*



*Implications for Operation of Highway Facilities*

- **Issues:**
  - AV Penetration Rate
  - Differences in driving behaviour of (N) and (AV)
  - Complicated dynamics of car following situations

**AV-AV**



**AV-N**



**N-AV**



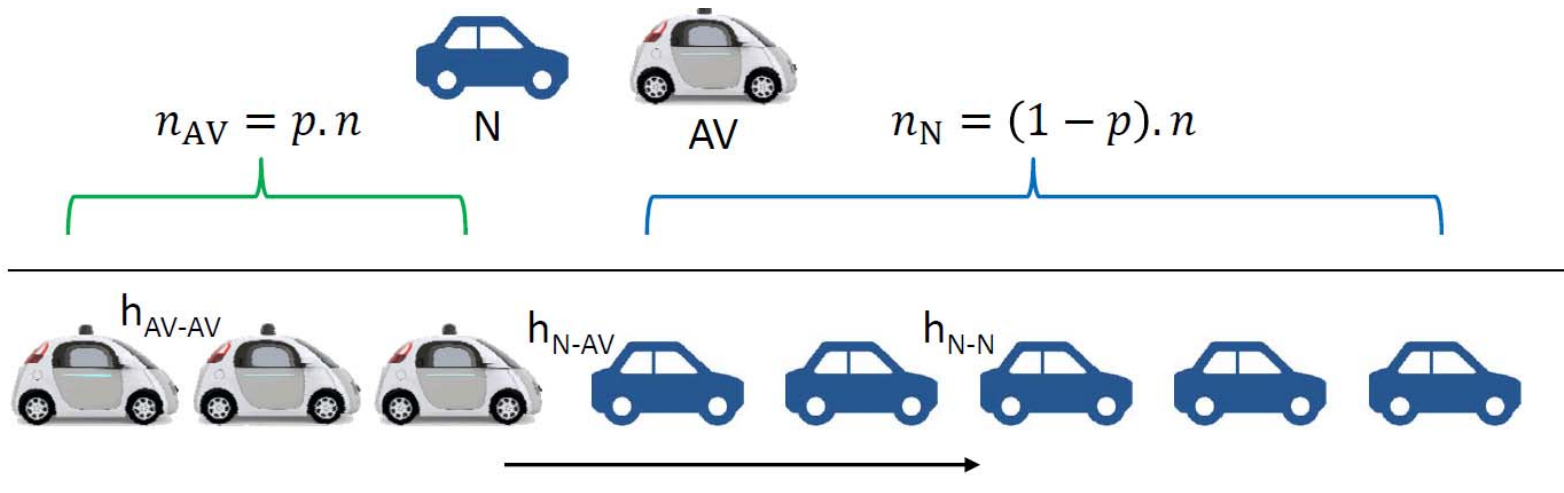
**N-N**





# Headway Analysis (1)

- Given the penetration rate of AV,  $0 \leq p \leq 1$
  - The expected headway of a mixed platoon depends on the relative locations of AV in the platoon
- Lower Bound Vehicle Headway

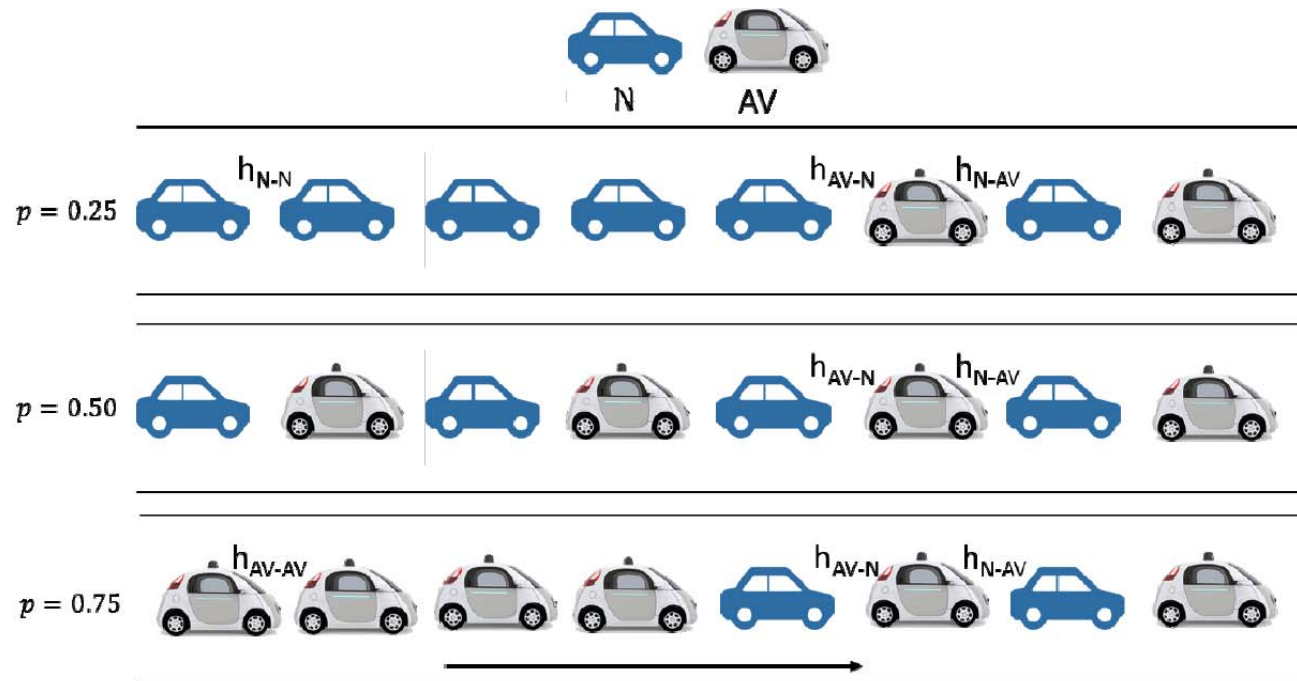


$$\bar{h} = \frac{(n_N - 1) \cdot h_{N-N} + (n_{AV} - 1) \cdot h_{AV-AV} + h_{N-AV}}{n - 1}$$



# Headway Analysis (2)

## Upper Bound of Vehicle Headway



$$\bar{h} = \begin{cases} \frac{n_{AV} \cdot h_{AV-N} + (n_{AV} - 1) \cdot h_{N-AV} + (n_N - n_{AV}) \cdot h_{N-N}}{n-1} & \text{if } p < 0.5 \\ \frac{n/2 \cdot h_{AV-N} + (n/2 - 1) \cdot h_{N-AV}}{n-1} & \text{if } p = 0.5 \\ \frac{n_N \cdot h_{AV-N} + n_N \cdot h_{N-AV} + (n_{AV} - n_N - 1) \cdot h_{AV-AV}}{n-1} & \text{if } p > 0.5 \end{cases}$$



# Headway Analysis (3)

## Expected Vehicle Headway

$$\bar{h} = \sum_{k=0}^n \bar{h}_k \cdot \mathcal{P}(X = k); \quad \mathcal{P}(X = k) = \binom{n}{k} p^k (1 - p)^{n-k}$$

- $n =$  number of vehicles
- $k =$  number of AV vehicles
- $p =$  penetration rate

### Example:

$$n = 4 \text{ [veh]}; p = 0.25$$

### Possible scenarios:

- $k = 0$  (only N)
- $k = 1$
- $k = 2$
- $k = 3$
- $k = 4$  (only AV)

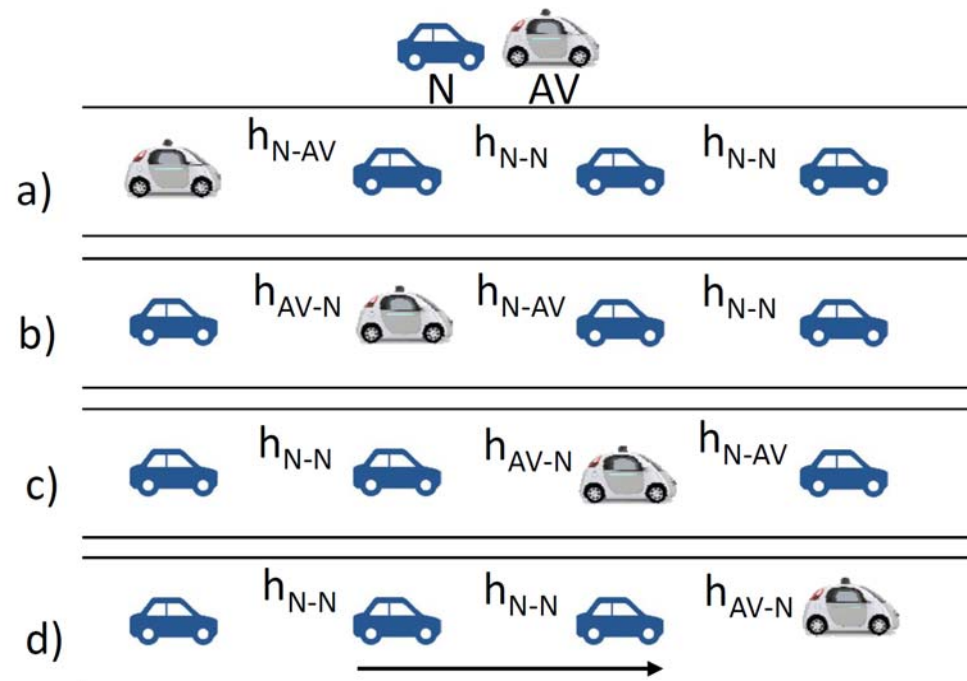


# Headway Analysis (3)

## Expected Vehicle Headway – Example (cont.)

$$h_{N-N} = 1.8 [s]; h_{AV-AV} = 0.9 [s]; h_{N-AV} = 1.2 [s]; h_{AV-N} = 1.8 [s]$$

$$k = 1 \quad C_n^k = \binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{4!}{1!3!} = 4 \text{ combinations}$$



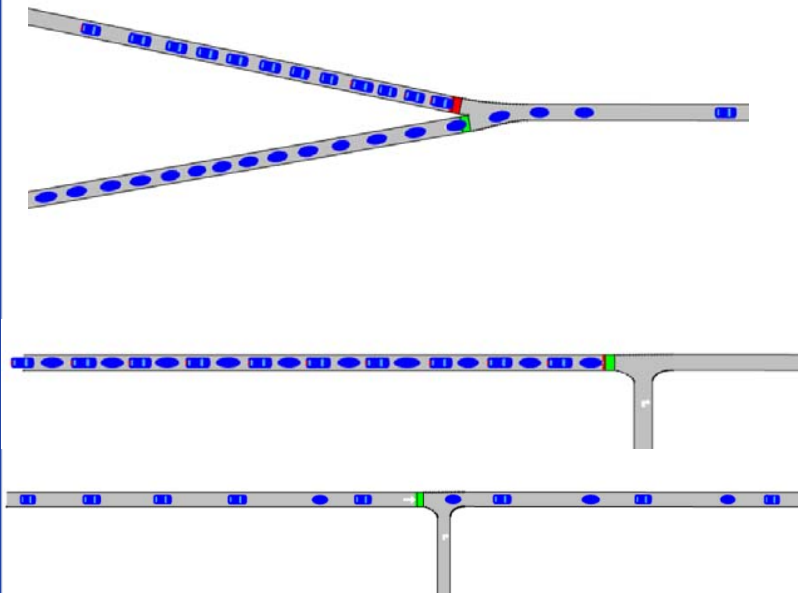
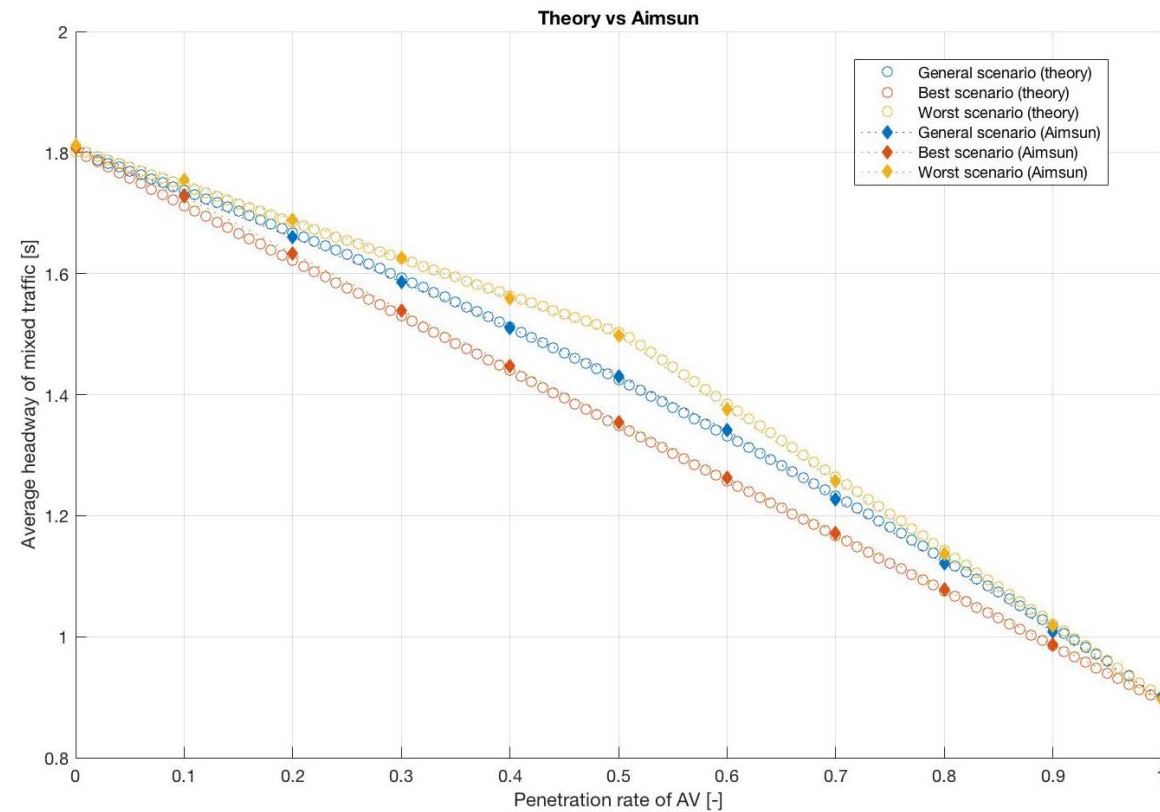
$$\bar{h}_1 = \frac{6h_{N-N} + 3h_{AV-N} + 3h_{N-AV}}{(n-1)} \times \frac{1}{C_n^1} = 1.65 [s]$$



# Headway Analysis-Summary

- Expected, upper and lower bounds of mixed flow headway
- validation of theoretically obtained headways using microsimulation

Average headway of mixed traffic VS penetration rate of AV





# Delay at an Arterial Signalized Link (1)

## Assumptions:

- Two lane signalized arterial link
- Apply shockwave theory
- FD parameters (capacity, critical density jam density) for each flow condition

## Scenarios

- i. mixed lanes
- ii. dedicated lanes for AV and N
- iii. one mixed lane and one AV dedicated lane
- iv. one mixed lane and one N dedicated lane





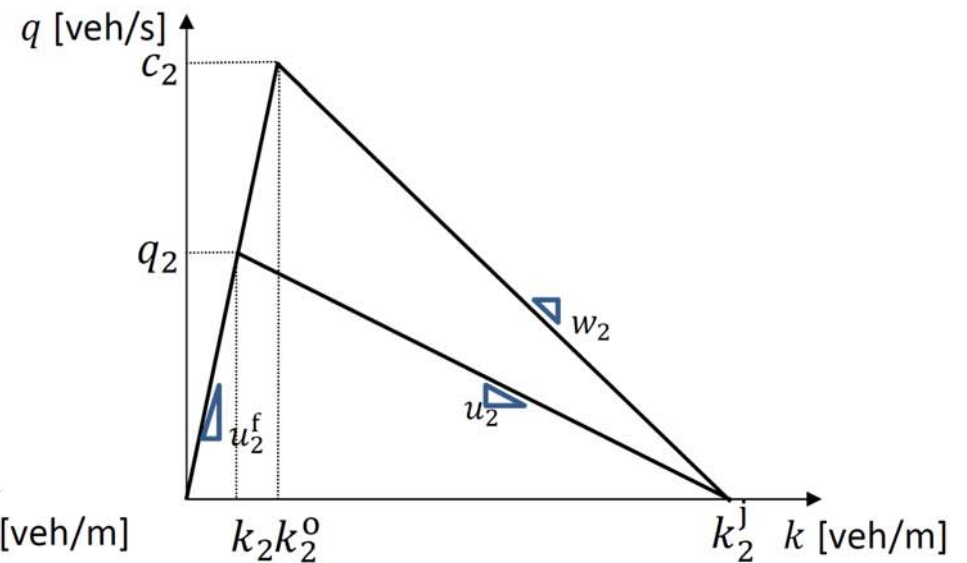
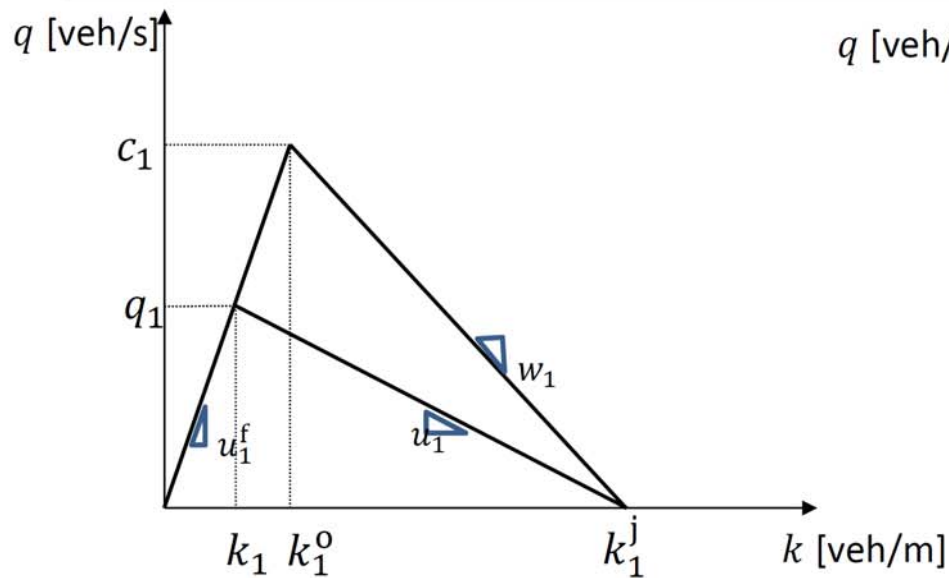
# Delay at an Arterial Signalized Link (2)

## i. dedicated lanes for AV and N

Dedicated for Normal Cars



Dedicated for Autonomous





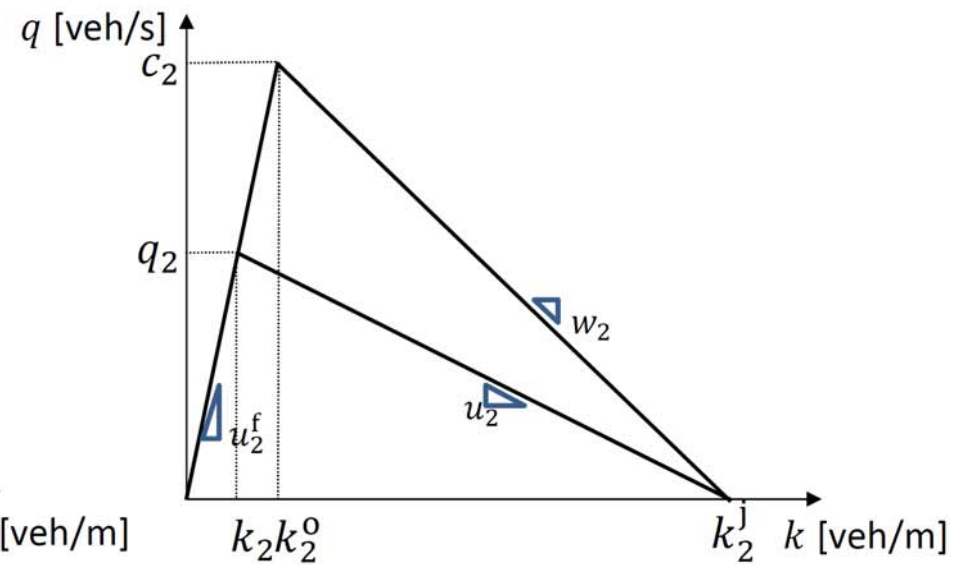
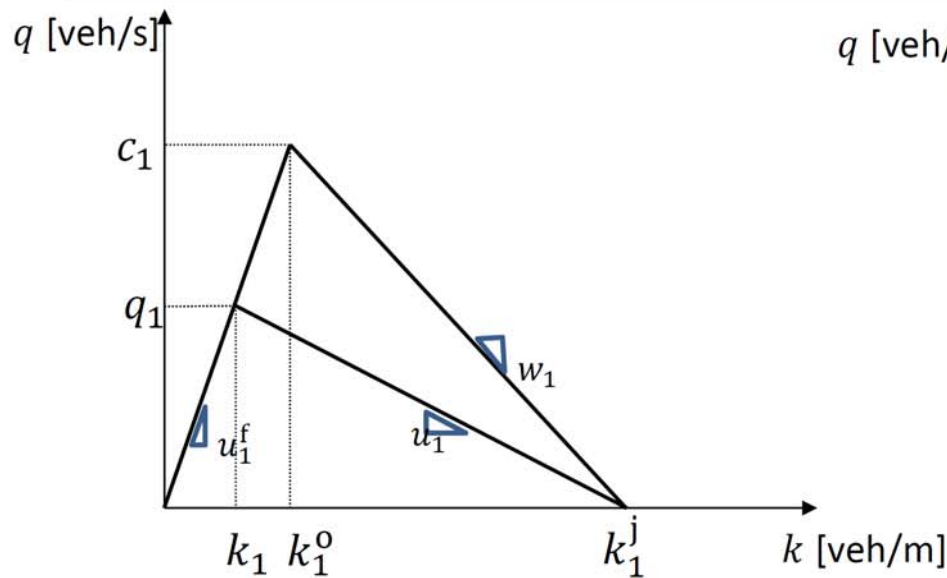
# Delay at an Arterial Signalized Link (3)

## i. dedicated lanes for AV and N

Dedicated for Normal Cars



Dedicated for Autonomous





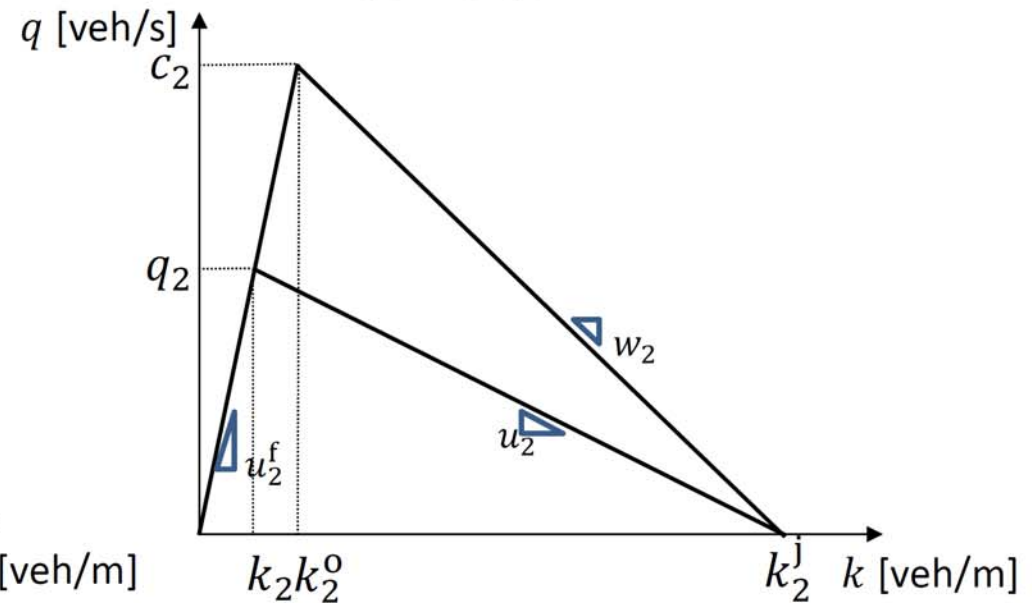
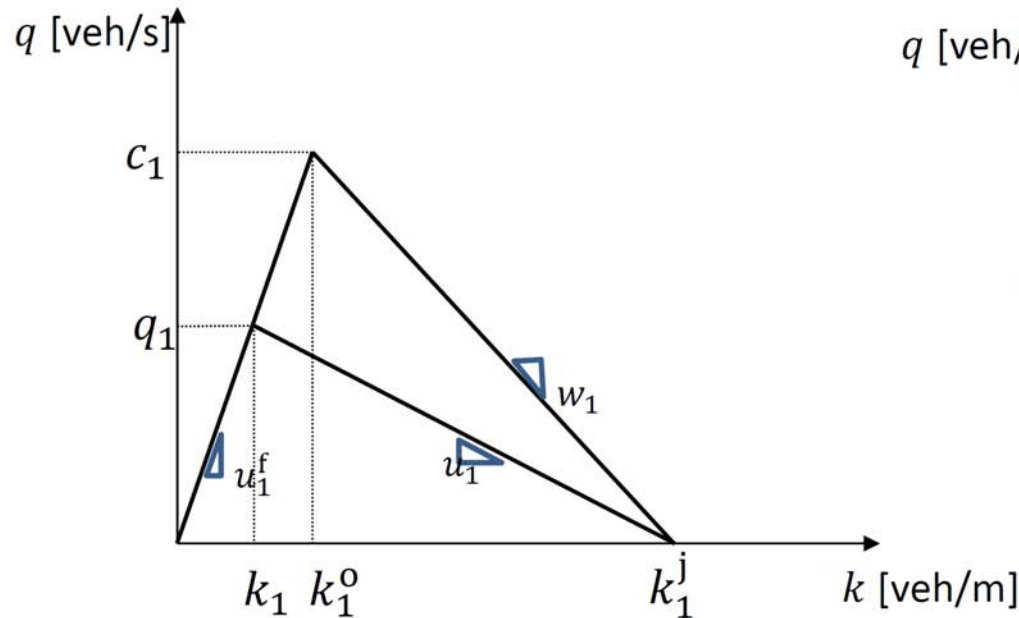
# Delay at an Arterial Signalized Link (4)

## i. dedicated lanes for AV and N (cont..)

$$D_i = 0.5R_i^2 \cdot \left( \frac{w_i \cdot u_i}{w_i - u_i} \right)$$

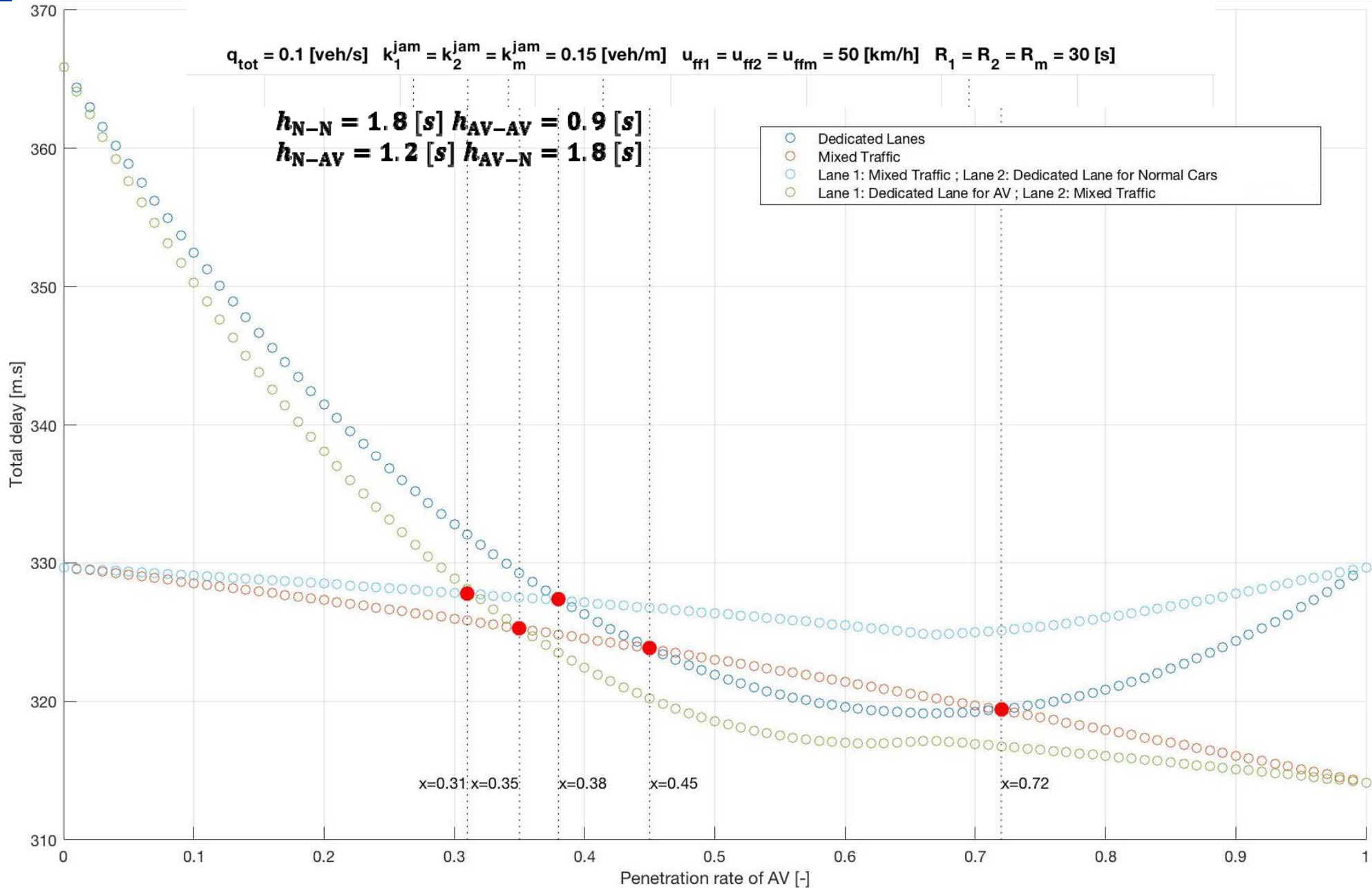
$$D_T = \sum_{i=1}^2 0.5R_i^2 \cdot \left( \frac{\frac{c_i}{k_i^j - \frac{c_i}{u_i^f}} \cdot \frac{q_i}{k_i^j - \frac{q_i}{u_i^f}}}{\frac{c_i}{k_i^j - \frac{c_i}{u_i^f}} - \frac{q_i}{k_i^j - \frac{q_i}{u_i^f}}} \right)$$

- $R_i$ : red duration
- $q_T$ : total arrival flow to the link
- $q_i$ : arrival flow of lane  $i$
- $q_1$ : arrival flow to the N dedicated lane;  $q_1 = (1 - p)q_T$
- $q_2$ : arrival flow to the AV dedicated lane;  $q_2 = pq_T$





# Delay at an Arterial Signalized Link-Summary





# Summary

- **Analytical expressions of upper and lower bounds of mixed flow headway**
- **validation of theoretical headways by microsimulation experiments**
- **Delay of a mixed flow at a signalized 2-lane arterial link for several lane utilization scenarios**
- **Trade-offs**
- **Dynamic Lane Allocation (left turning traffic, spillback)**
- **Signal Control Strategies**